



FINRA PUBLIC DISCLOSURE PROGRAM (FINRA RULE 2267)

The Financial Industry Regulatory Authority (“FINRA”) maintains the Broker Check website which provides certain information regarding the disciplinary history of FINRA members and their associated persons in response to written inquiries, electronic inquiries, or telephonic inquiries via FINRA’s toll-free telephone listing at 1-800-289-9999, or its website at www.brokercheck.finra.org. Additionally, FINRA has prepared an investor brochure that includes information describing the Broker Check site which can be found at the website listed above.