



## Regulation Best Interest Disclosure Statement

Lime Trading Corp. is registered with the U.S. Securities and Exchange Commission (“SEC”) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (“FINRA”), the New York Stock Exchange (“NYSE”), the Securities Investor Protection Corporation (“SIPC”), and the National Futures Association (“NFA”). Lime Trading Corp. offers a variety of account and investment options to both retail and institutional customers.

Brokerage fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [www.investor.gov/CRS](http://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers.

### **Affiliated Entities**

The Firm is affiliated with an SEC registered investment adviser, Lime Advisory Corp. Lime Advisory Corp. offers a variety of advised securities accounts and services. You can find more information regarding Lime Advisory Corp. by visiting [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **About This Document**

This document is meant to provide additional information and disclosures regarding Lime Trading Corp. pursuant to the SEC’s Regulation Best Interest.

### **Capacity in Which Your Financial Professional Is Acting**

The financial professional(s) assisting you is a registered representative of Lime Trading Corp. You can check the financial professional at [www.brokercheck.finra.org](http://www.brokercheck.finra.org), which will allow you to search for your financial professional by name and review their background and experience in the securities industry, as well as additional information regarding Lime Trading Corp..

### **Material Limitations**

In a self-directed brokerage relationship, Lime Trading Corp. will act as your agent to complete securities and other investment transactions at your direction and on your behalf. While the Lime Trading Corp. can, in its discretion, provide you with general educational materials and investment research, in a self-directed brokerage relationship, Lime Trading Corp. and its account representatives will not make investment recommendations to you, and you will not be assigned an account representative.

There are material limitations to the financial products and services the Firm provides. The Firm approves and offers only certain account types, products, and securities. There may be additional account types, products, and securities that are not offered by the Firm, which may benefit you and your portfolio. In addition, those that we do offer, may be available at a lower cost through another firm.

Additionally, while financial professionals associated with the Firm are licensed to offer certain account types, products, and securities, your financial professional will not recommend a particular account type, product, and/or security which may benefit you and your portfolio. You can check to see the licenses your financial professional holds, by visiting [www.brokercheck.finra.org](http://www.brokercheck.finra.org).



**LIME**  
TRADING

**Requirements for You to Open or Maintain an Account with Lime Trading Corp.**

There is a minimum investment requirement to begin trading:

**Account Fees**

In addition to the minimum balance requirements, commissions and sales charges described above, customers can also be charged commissions and sales charges, direct fees and charges for miscellaneous account services, including, but not limited to transaction processing, transfers, margin, ticket charges, inactivity, and account maintenance. For a complete list of these charges and fees, you should review your account agreement and/or fee schedule on our website <https://lime.co/pricing/>.

For additional information about our services or a copy of this disclosure, please call 1-855-274- 4934 (toll free) where you can request up-to-date information and a copy of Lime Trading Corp.'s Form CRS.

**Conflicts of Interest**

Lime Trading Corp. may receive shared revenues, fees, and/or payments from third parties (i.e., clearing firms, market makers, research vendors and tools, etc.) which could create an incentive to offer certain products or services.

**Understanding Risk**

Lime Trading Corp. does not provide tax, legal or accounting advice. Accordingly, it encourages each customer to consult their own personal tax, legal and/or accounting advisers in order to understand the potential consequences associated with a particular investment strategy.

Investing in securities involves risk of loss that customers should be prepared to bear. Different types of investments involve varying degrees of risk and there can be no assurance that any specific investment or investment strategy will be profitable for a customer's investment portfolio.

Past performance is not indicative of future results. A customer should not assume that the future performance of any specific investment, investment strategy, or product will be profitable or equal to past or current performance levels. Lime Trading Corp. cannot assure that the investment objectives of any client will be realized. For additional product-specific risks, customers should review their prospectus, offering document, or similar materials and consider them carefully prior to making an investment decision.